

Collection Types

Sequences, Arrays, Sets, and Bags

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Outline

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Ordered Collections: Four Ways in PVS

- ▶ `sequence` [`nat` \rightarrow `T`]
- ▶ `bounded array` [`below(N)` \rightarrow `T`]
- ▶ `finite sequence`
[`# length: nat`, `seq: [below[length] \rightarrow T] #]`
- ▶ `list datatype`

```
list [T: TYPE]: DATATYPE
  BEGIN
    null: null?
    cons (car: T, cdr:list):cons?
  END list
```

lists were covered in Paul Miner's abstract data type lecture

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Sequence

PVS provides a **sequence** (i.e., unbounded array) as follows:

T: TYPE

A1: FUNCTION [nat -> T]

A2: ARRAY [nat -> T]

A3: [nat -> T]

A4: sequence[T]

all of which are the same.

Prelude sequences Theory

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function	meaning
<code>nth(seq, n)</code>	n^{th} element of the sequence
<code>suffix(seq, n)</code>	sequence starting after the n^{th} element
<code>first(seq)</code>	first element
<code>rest(seq)</code>	sequence excluding the first element
<code>delete(n, seq)</code>	delete the n^{th} element
<code>insert(x, n, seq)</code>	insert x into seq at n
<code>add(x, seq)</code>	insert x into the front of seq

- Quiz: How do we get to the prelude?

Bounded Array¹

An array with a fixed upper limit more closely matches arrays in a programming languages.

```
below_arrays[N: nat, T: TYPE]: THEORY
BEGIN
  below_array: TYPE = [below(N) -> T]

  A: VAR below_array
  x: VAR T
  ii: VAR below(N)

  in?(x,A): bool = (EXISTS ii: x = A(ii))
END below_arrays
```

- ▶ `below` is defined in PVS prelude

```
below(i: nat): TYPE = {s: nat | s < i}
```

- ▶ Bounded arrays can have a “maximum”. In general, a sequence can only have a “least upper bound”

¹Defined in NASA's structures library

Definition of `imax_rec` (index of max)

```
max_real_array[N: posnat]: THEORY
BEGIN
  IMPORTING below_arrays[N,real]

  A: VAR below_array
  jj: VAR below(N)

  imax_rec(A,jj): RECURSIVE below(N) =
    IF jj = 0 THEN 0
    ELSE
      LET IX = imax_rec(A,jj-1) IN
        IF A(IX) <= A(jj) THEN jj ELSE IX ENDIF
    ENDIF MEASURE jj
```

Recursive definitions require well-foundedness TCCs:

```
imax_rec_TCC1: OBLIGATION (FORALL (jj): jj = 0 IMPLIES 0 < N);

imax_rec_TCC2: OBLIGATION (FORALL (jj): NOT jj = 0
  IMPLIES jj - 1 >= 0 AND jj - 1 < N);

imax_rec_TCC3: OBLIGATION (FORALL (A, jj): NOT jj = 0
  IMPLIES jj - 1 < jj);
```

all of which are discharged with $M-x$ tcp.

Properties of `imax_rec`

```
imax_rec_rng: LEMMA 0 <= imax_rec(A,jj) AND imax_rec(A,jj) <= jj
```

Proof:

```
(""  
  (INDUCT "jj" 1)  
  (("1" (FLATTEN) (SKOSIMP*) (EXPAND "imax_rec") (PROPAX))  
   ("2" (SKOSIMP*) (EXPAND "imax_rec" +) (INST?) (LIFT-IF) (GROUND))))
```

```
imax_rec_lem: LEMMA j <= jj IMPLIES A(j) <= A(imax_rec(A,jj))
```

Proof:

```
(""  
  (INDUCT "jj" 1)  
  (("1" (FLATTEN) (SKOSIMP*) (EXPAND "imax_rec") (ASSERT))  
   ("2" (SKOSIMP*) (EXPAND "imax_rec" +) (INST?) (LIFT-IF) (GROUND))))
```


Definition of $\max(A)$ and Properties

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$\text{imax}(A) : \text{below}(N) = \text{imax_rec}(A, N-1)$

$\text{max}(A) : \text{real} = A(\text{imax}(A))$

$\text{max_lem} : \text{LEMMA } A(i) \leq \text{max}(A)$

$\text{imax_lem} : \text{LEMMA } A(\text{imax}(A)) = \text{max}(A)$

$\text{max_def} : \text{LEMMA } A(i) \leq \text{max}(A) \text{ AND } \text{in?}(\text{max}(A), A)$

Array Concatenation

```
concat_arrays [n:nat, m:nat, T: TYPE]: THEORY
BEGIN
  IMPORTING below_arrays

  a_n: VAR below_array[n,T]
  a_m: VAR below_array[m,T]
  nm : VAR below(n+m)

  o(a_n, a_m): below_array[n+m,T]
              = (LAMBDA nm: IF nm < n THEN a_n(nm)
                  ELSE a_m(nm - n)
                  ENDIF)
```

- ▶ The function `o` overloads a function already defined in the prelude.
- ▶ The return type of `o` depends upon the theory parameters `n` and `m`. TCCs?
- ▶ `o` is an operator
 - ▶ Either `o(A,B)` or `A o B` are syntactically valid

Array Concatenation Properties

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`a_n: VAR below_array[n,T]`

`a_m: VAR below_array[m,T]`

`nm : VAR below(n+m)`

`concat_array_bot0: THEOREM m = 0 IMPLIES a_n o a_m = a_n`

`concat_array_top0: THEOREM n = 0 IMPLIES a_n o a_m = a_m`

`i: VAR below(n)`

`j: VAR {i: int | i >= n AND i < n+m}`

`concat_array_bot : THEOREM (a_n o a_m)(i) = a_n(i)`

`concat_array_top : THEOREM (a_n o a_m)(j) = a_m(j-n)`

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Array Extraction

Given an array $A = [a_0, a_1, a_2, a_3, \dots, a_{(N-1)}]$, we want the elements $A^{(m,n)} = [a_m, \dots, a_n]$

```
caret_arrays [N:nat, T: TYPE]: THEORY
BEGIN
  IMPORTING below_arrays, empty_array_def

  A: VAR below_array[N,T]
  m, n: VAR nat
  p: VAR [nat, below[N]]

  empty_array: below_array[0,T]

  ^ (A, p): below_array[LET (m, n) = p IN
                        IF m > n THEN 0
                        ELSE n - m + 1 ENDIF, T] =
    LET (m, n) = p IN
      IF m <= n THEN (LAMBDA (x: below[n-m+1]): A(x + m))
      ELSE empty_array
    ENDIF
```

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Properties of Array Extraction

caret_all : LEMMA N > 0 IMPLIES A^(0,N-1) = A

caret_ii_0: LEMMA FORALL (i: below(N)): (A^(i,i))(0) = A(i)

caret_elim: LEMMA

FORALL (j: below(N), i: upto(j), k: below(j-i+1)):
(A ^ (i, j))(k) = A(i+k)

- ▶ $(A^{(i,i)})(0)$ extracts a single element

Finite Sequences

```
finite_sequences [T: TYPE]: THEORY
BEGIN
  finite_sequence: TYPE = [# length:nat, seq:[below[length] -> T] #]
  finseq: TYPE = finite_sequence

  fs, fs1, fs2, fs3: VAR finseq
  m, n: VAR nat

  empty_seq: finseq =
    (# length := 0,
     seq := (LAMBDA (x: below[0]): epsilon! (t:T): true) #)

  finseq_appl(fs): [below[length(fs)] -> T] = fs'seq;
```

- ▶ Don't worry about `epsilon` for now, we will get to it later

Finite Sequences (cont'd)

Concatenation operator

```

o(fs1, fs2): finseq =
  LET lsum = fs1'length + fs2'length
  IN (# length := lsum,
      seq := (LAMBDA (n:below[lsum]):
              IF n < fs1'length
                THEN fs1'seq(n)
                ELSE fs2'seq(n-fs1'length)
              ENDIF) #);

```

Extraction operator

```

p: VAR [nat, nat]

^(fs, p): finseq =
  LET (m, n) = p
  IN IF m > n OR m >= fs'length
      THEN empty_seq
      ELSE LET len = min(n - m + 1, fs'length - m)
           IN (# length := len,
               seq := (LAMBDA (x: below[len]): fs'seq(x + m)) #)
      ENDIF

```

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These unordered collections are available in PVS

- ▶ **Sets** [T -> bool]
- ▶ **Finite Sets** [(is_finite) -> bool]
- ▶ **Bags** (aka multisets) [T -> nat]
- ▶ **Finite Bags** [(is_finite) -> nat]

Definition of Sets

- ▶ Sets are defined in the PVS prelude ($M-x$ vpf)
- ▶ Some of the operations defined on sets are:

PVS Name	traditional notation or meaning
member	\in
union	\cup
intersection	\cap
difference	\setminus
add	add element to a set
singleton	constructs set with one element
subset?	\subseteq
strict_subset?	\subset
emptyset	\emptyset

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Sets in PVS (cont'd)

- ▶ It is important to bear in mind that a set is just a predicate (i.e., a function into `bool`):

```
letters: TYPE = {a,b,c,d,e,f}
S: set[letters]
```

`s` is a function that maps each of the elements of the domain to true or false. For example:

```
S(a) --> TRUE      S(b) --> TRUE
S(c) --> FALSE     S(d) --> TRUE
S(e) --> TRUE      S(f) --> FALSE
```

- ▶ The above set is specified in PVS as follows:

```
(LAMBDA (x: letters): (x=a) OR (x=b) OR (x=d) OR (x=e))
```

- ▶ Alternatively, one could write:

```
{ x: letters | (x=a) OR (x=b) OR (x=d) OR (x=e) }
```

- ▶ But, **there is no** PVS **set** constructor $\{a, b, d, e\}$
- ▶ However, this form can be used for **type** construction (see above)

Sets Theory in Prelude

The `sets[T: TYPE]` theory is defined in the prelude:

```
sets [T: TYPE]: THEORY
BEGIN
  set: TYPE = [T -> bool]

  x, y: VAR T
  a, b, c: VAR set
  p: VAR PRED[T]

  member(x, a): bool = a(x)

  empty?(a): bool = (FORALL x: NOT member(x, a))

  emptyset: set = {x | false}

  nonempty?(a): bool = NOT empty?(a)

  fullset: set = {x | true}

  subset?(a, b): bool = (FORALL x: member(x, a) => member(x, b))

  strict_subset?(a, b): bool = subset?(a, b) & a /= b
```

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Sets Theory in Prelude (cont'd)

```
union(a, b): set = {x | member(x, a) OR member(x, b)}

intersection(a, b): set = {x | member(x, a) AND member(x, b)}

disjoint?(a, b): bool = empty?(intersection(a, b))

difference(a, b): set = {x | member(x, a) AND NOT member(x, b)}

singleton(x): set = {y | y = x}

add(x, a): set = {y | x = y OR member(y, a)}

remove(x, a): set = {y | x /= y AND member(y, a)}

% A choice function for nonempty sets

choose(p: (nonempty?)): (p) = epsilon(p)

rest(a): set = IF empty?(a) THEN a ELSE remove(choose(a), a) ENDIF

END sets
```

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Properties Of These Set Operations

- ▶ Useful lemmas about sets and their operations are available in the prelude in a theory named `sets_lemmas`:

```
sets_lemmas [T: TYPE]: THEORY
BEGIN
  a, b, c: VAR set[T]
  x: VAR T

  emptyset_is_empty?: LEMMA empty?(a) IFF a = emptyset
  subset_transitive : LEMMA subset?(a, b) AND subset?(b, c)
                      IMPLIES subset?(a, c)

  subset_emptyset   : LEMMA subset?(emptyset, a)
  union_commutative : LEMMA union(a, b) = union(b, a)
END
```

- ▶ Usually, one must include the parent type in a LEMMA command `(LEMMA "union_commutative[nat]")`
- ▶ Sometimes you can get away with

```
(REWRITE "union_commutative")
```

but not always!

Set Union/Intersection Illustrated

$$x \in B \cup C \equiv \text{union}(B, C)(x) = B(x) \text{ OR } C(x)$$

$$x \in B \cap C \equiv \text{intersection}(B, C)(x) = B(x) \text{ AND } C(x)$$

Thus operations on sets can be reduced to propositional formulas by set membership, i.e.,

- ▶ $\text{union}(B, C)$ is a function
- ▶ $\text{union}(B, C)(x)$ is a **propositional formula!**

Proving with subset?

```
|-----  
{1}    subset?(B, C)
```

Rule? (expand "subset?")

```
|-----  
{1}    (FORALL (x: int): member(x, B) => member(x, C))
```

Rule? (SKOLEM*)

```
|-----  
{1}    member(x!1, B) => member(x!1, C)
```

Rule? (expand "member")

```
|-----  
{1}    (B(x!1) => C(x!1))
```

This can get a little tedious, is there another way?

Interlude: Auto Rewriting

```
|-----  
{1}  factorial(5) > 100
```

Rule? (rewrite "factorial")

nn gets 5, Rewriting using factorial, matching in *,

```
|-----  
{1}  5 * factorial(4) > 100
```

Rule? (auto-rewrite "factorial")

```
|-----  
[1]  5 * factorial(4) > 100
```

Rule? (assert)

factorial rewrites factorial(1) to 1

factorial rewrites factorial(2) to 2

factorial rewrites factorial(3) to 6

factorial rewrites factorial(4) to 24

Simplifying, rewriting, and recording with decision procedures,

Q.E.D.

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Set Auto Rewriting

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The reduction can be facilitated through use of

```
(AUTO-REWRITE-THEORY "sets [T] ")
```

which installs an entire theory as auto-rewrites, or

```
(INSTALL-REWRITES :DEFS T)
```

which installs all the definitions used directly or indirectly in the original statement as auto-rewrite rules

AUTO-REWRITE-THEORY

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```
{-1} subset?(A!1, C!1)
|-----
{1}  subset?(union(A!1, B!1), union(C!1, B!1))
```

Rule? (auto-rewrite-theory "sets[real]")

Rewriting relative to the theory: sets[real],

this simplifies to:

set_rewrite2 :

```
[-1] subset?(A!1, C!1)
|-----
[1]  subset?(union(A!1, B!1), union(C!1, B!1))
```

AUTO-REWRITE-THEORY (cont'd)

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Rule? (ASSERT)

member rewrites member(x, A!1) to A!1(x)

member rewrites member(x, C!1) to C!1(x)

subset? rewrites subset?(A!1, C!1) to $\text{FORALL } (x: \text{real}): A!1(x) \Rightarrow C!1(x)$

member rewrites member(x, A!1) to A!1(x)

member rewrites member(x, B!1) to B!1(x)

union rewrites union(A!1, B!1)(x) to A!1(x) OR B!1(x)

member rewrites member(x, union(A!1, B!1)) to A!1(x) OR B!1(x)

member rewrites member(x, C!1) to C!1(x)

union rewrites union(C!1, B!1)(x) to C!1(x) OR B!1(x)

member rewrites member(x, union(C!1, B!1)) to C!1(x) OR B!1(x)

subset? rewrites subset?(union(A!1, B!1), union(C!1, B!1))

to $\text{FORALL } (x: \text{real}): A!1(x) \text{ OR } B!1(x) \Rightarrow C!1(x) \text{ OR } B!1(x)$

Simplifying, rewriting, and recording with decision procedures, this simplifies to:

set_rewrite2 :

{-1} $\text{FORALL } (x: \text{real}): A!1(x) \Rightarrow C!1(x)$

|-----

{1} $\text{FORALL } (x: \text{real}): A!1(x) \text{ OR } B!1(x) \Rightarrow C!1(x) \text{ OR } B!1(x)$

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an easily proved formula. How?

Set Equality

- ▶ To prove that two sets are equal we must use function extensionality:

$$f = g \text{ IFF } \forall x : f(x) = g(x)$$

because sets are just functions into bools (i.e., predicates)

- ▶ The PVS command `(APPLY-EXTENSIONALITY)` will do the trick
- ▶ The short cut is `TAB E`

Set Equality: Example

```
A: set[posint] = { x: posint | (x=1) OR (x=2) OR (x=3) }
```

```
ill_ext: LEMMA A = add(1,add(2,singleton(3)))
```

```
ill_ext :
```

```
  |-----  
{1}  A = add(1, add(2, singleton(3)))
```

```
Rule? (APPLY-EXTENSIONALITY :HIDE? T)
```

```
  |-----  
{1}  A(x!1) = add(1, add(2, singleton(3)))(x!1)
```

```
Rule? (AUTO-REWRITE-THEORY "sets[posint]")
```

```
  |-----  
[1]  A(x!1) = add(1, add(2, singleton(3)))(x!1)
```

```
Rule? (EXPAND "A")
```

```
  |-----  
{1}  (((x!1 = 1) OR (x!1 = 2) OR (x!1 = 3))  
      = add(1, add(2, singleton(3)))(x!1))
```

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Set Equality: Example (cont'd)

Rule? (IFF)

Converting top level boolean equality into IFF form,

Converting equality to IFF,

this simplifies to:

ill_ext :

```
|-----  
{1}    (x!1 = 1) OR (x!1 = 2) OR (x!1 = 3) IFF  
                1 = x!1 OR 2 = x!1 OR x!1 = 3
```

Rule? (GROUND)

Applying propositional simplification and decision procedures,

Q.E.D.

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Big Warning

```
below_100: TYPE = { n: nat | n < 100 }
```

```
{ t: below_100 | t = 50 }
```

is not the same as

```
{ n: nat | n = 50 }
```

Why?

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Big Warning (cont'd)

Given

$$\text{below}_{100}: \text{TYPE} = \{ n: \text{nat} \mid n \leq 100 \}$$

We are really asking are these two **sets** equal?

$$\{ t: \text{below}_{100} \mid t = 50 \} \quad \{ n: \text{nat} \mid n = 50 \}$$

So we are really asking are these two **functions** equal?

$$(\text{LAMBDA } (t: \text{below}_{100}): t = 50) \quad (\text{LAMBDA } (n: \text{nat}): n = 50)$$

THE DOMAINS ARE NOT EQUAL!

- ▶ Because they do not have the same domains, the **APPLY-EXTENSIONALITY** strategy cannot be used
- ▶ Even though in set theory semantics they represent the same set.

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Thoughts About Sets in Type Theory

Type theory offers several advantages over set theory

- ▶ Avoids the classic paradoxes in an intuitive way.
- ▶ Type checking uncovers errors
- ▶ More “natural” for people used to (most) programming languages

However, there are some disadvantages:

- ▶ Sets with the same elements but different domains are different.
 - ▶ The emptyset is not unique
(i.e., `emptyset[T1]` and `emptyset[T2]` are not identical)
- ▶ There are different set operations for each basic element type. In other words, `card[T1]` is not the same function as `card[T2]`.

Back to Big Warning

If you give PVS

```
below_100: TYPE = { n: nat | n <= 100 }
```

```
ll: LEMMA {t:below_100 | t = 50} = {n: nat | n = 50}
```

it will recognize the domain mismatch and interpret this as

```
    |-----  
    {1}    {t: below_100 | t = 50} = restrict({n: nat | n = 50})
```

where `restrict` is defined in the prelude as:

```
restrict [T: TYPE, S: TYPE FROM T, R: TYPE]: THEORY  
BEGIN  
  f: VAR [T -> R]  
  s: VAR S  
  
  restrict(f)(s): R = f(s)  
  CONVERSION restrict  
END restrict
```

This `CONVERSION` helps here, but will not help you when you try something like ...

Big Warning (cont'd)

```
below_100: TYPE = {n: nat | n <= 100}
```

```
lc: LEMMA card({t:below_100 | t = 50}) = card({n: nat | n = 50})
```

because this is really

```
lc: LEMMA card[below_100]({t:below_100 | t = 50})  
      = card[nat]({n: nat | n = 50})
```

Which are two different functions and therefore, they cannot be equal.

The Moral Of the Story

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Define sets over the **PARENT TYPE** unless there is a very good reason not to.

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USE

$$\{ n: \text{nat} \mid P(n) \text{ AND } n \leq 100 \}$$

RATHER THAN

$$\text{below}_{100}: \text{TYPE} = \{ n: \text{nat} \mid n \leq 100 \}$$
$$\{ t:\text{below}_{100} \mid P(t) \}$$

This will keep all the domains the same.

Choose Function

- ▶ The `choose` function returns an **arbitrary element** of a nonempty set: `choose(p: (nonempty?)): (p) = epsilon(p)`
- ▶ An empty set will cause an **unprovable TCC**.
- ▶ If the set is potentially empty, one should use `epsilon` directly.
- ▶ The function `epsilon` is defined as follows:

```
epsilon [T: NONEMPTY_TYPE]: THEORY
BEGIN
  p: VAR pred[T]
  x: VAR T

  epsilon(p): T

  epsilon_ax: AXIOM (EXISTS x: p(x)) => p(epsilon(p))
```

- ▶ Given a set of type `T`, `epsilon` produces an element in the set if one exists, and otherwise produces an arbitrary element of the type.
- ▶ The parent type of the set **must be nonempty**.

Choose Function: Additional Thoughts

- ▶ It would have been nice if `choose` had been defined without a body:

```
choose(p: (nonempty?)): (p)
```

since all of the properties needed are implicit in the return type.

- ▶ If the body were not present, `choose` would **not expand** when using `(GRIND)` or `(auto-rewrite-theory "sets[nat]")`

Recommendation:

```
(AUTO-REWRITE-THEORY "sets[nat]" :exclude "choose")
```

```
(GRIND :exclude "choose")
```

```
(INSTALL-REWRITES :DEFS T :EXCLUDE "choose")
```

Motivation For Finite Sets

Collection Types

Jeffrey Maddalon

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Finite Set Operations
Bags

We would like to have the following functions defined over sets:

1. The cardinality function
2. Minimum and maximum over a set
3. Summation over a set

and the ability to perform set induction.

Basic Definitions

Let's define a predicate that indicates when a set is finite:

```
is_finite(S): bool = (EXISTS N, (f: [(S)->below[N]]): injective?(f))
```

where `injective?` is defined in the PVS prelude as follows:

```
functions [D, R: TYPE]: THEORY
```

```
f, g: VAR [D -> R]
```

```
x, x1, x2: VAR D
```

```
y: VAR R
```

```
injective?(f): bool = (FORALL x1, x2: (f(x1) = f(x2) => (x1 = x2)))
```

```
surjective?(f): bool = (FORALL y: (EXISTS x: f(x) = y))
```

```
bijjective?(f): bool = injective?(f) & surjective?(f)
```

- ▶ To demonstrate that a set is finite, an injective function from the set into $[0, N]$ must be exhibited.
- ▶ The user is free to pick any N that is convenient and not necessarily the smallest.

The type `finite_set`

```
finite_set: TYPE = (is_finite) CONTAINING emptyset[T]
```

A nonempty finite set is defined as follows:

```
non_empty_finite_set: TYPE = {s: finite_set | NOT empty?(s)}
```

The declaration of a finite set variable:

```
IMPORTING finite_sets
```

```
S: VAR finite_set[T]
```

- ▶ `finite_set` is defined in the prelude.
- ▶ `(is_finite)` is an abbreviation for the type
 $\{t: \text{setof}[T] \mid \text{is_finite}(t)\}$

Finite Set Operations

- ▶ Because `finite_set` is a subtype of `set`, all of the operations on the `set` type are inherited by the `finite_set` type.

The set operations preserve finiteness:

```
A,B: VAR finite_sets
```

```
finite_union:      LEMMA is_finite(union(A,B))
```

```
finite_intersection: LEMMA is_finite(intersection(A,B))
```

```
finite_difference:  LEMMA is_finite(difference(A,B))
```

```
finite_add:        LEMMA is_finite(add(x,A))
```

```
finite_remove:     LEMMA is_finite(remove(x,A))
```

```
finite_subset:     LEMMA subset?(S,A) IMPLIES is_finite(S)
```

```
finite_singleton:  LEMMA is_finite(singleton(x))
```

```
finite_empty:      LEMMA is_finite(emptyset[T])
```

```
finite_rest:       LEMMA is_finite(rest(A))
```

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Judgements for Finite Sets

The following judgement statements make the above facts available to the typechecker:

```
nonempty_finite_is_nonempty: JUDGEMENT
  non_empty_finite_set SUBTYPE_OF (nonempty?[T])

finite_singleton: JUDGEMENT singleton(x) HAS_TYPE finite_set

finite_union      : JUDGEMENT union(A, B) HAS_TYPE finite_set
finite_intersec1: JUDGEMENT intersection(s, A) HAS_TYPE finite_set
finite_intersec2: JUDGEMENT intersection(A, s) HAS_TYPE finite_set

nonemp_fin_un1: JUDGEMENT union(NA, B) HAS_TYPE non_empty_finite_set
```

- ▶ The inclusion of these judgements in the library will minimize the number of TCCs that are generated.
- ▶ Without the `JUDGEMENT` statements, every use of the basic set operations on a finite set (e.g. `add(x,s)`) in a context that requires a finite set, would result in the generation of a TCC.

Structure Of The Finite Sets Library

Collection Types

Jeffrey Maddalon

The library contains the following theories

<code>finite_sets</code>	:	part of the prelude, not library (provides basic type and cardinality)
<code>finite_sets_sum</code>	:	summation over a set
<code>finite_sets_minmax</code>	:	min and max over a set
<code>finite_sets_inductions</code>	:	induction schemes
<code>finite_sets_sum_real</code>	:	additional properties for summations over real-valued functions
<code>finite_sets_int</code>	:	special properties of integer sets
<code>finite_sets_nat</code>	:	special properties of natural sets

The library also contains theories `card_def`, `finite_sets_def`, and `card_lt` which are not meant to be directly imported.

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Cardinality of a Finite Set

```
S: VAR finite_set[T]
```

```
inj_set(S): (nonempty?[nat]) =  
    {n | EXISTS (f: [(S)->below[n]]) : injective?(f) }
```

```
Card(S): nat = min(inj_set(S))
```

```
card(S): {n: nat | n = Card(S)}          % inhibit expansion
```

- ▶ Cardinality is defined to be the **smallest** n for which an injection exists.
- ▶ To inhibit expansion, the `card` function is defined using a return type that is a singleton.
- ▶ The definition can be retrieved using a `TYPEPRED` command (e.g. `TYPEPRED "card(S!1)"`) or the `card_bij` theorem:

```
card_bij: THEOREM card(S) = N IFF  
    (EXISTS (f: [(S) -> below[N]]): bijective?(f))
```

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Properties of card Over the Set Operations

x: VAR T

S,A,B: VAR finite_set[T]

card_union : THEOREM $\text{card}(\text{union}(A,B)) = \text{card}(A) + \text{card}(B) - \text{card}(\text{intersection}(A,B))$

card_add : THEOREM $\text{card}(\text{add}(x,S)) = \text{card}(S) + \text{IF } S(x) \text{ THEN } 0 \text{ ELSE } 1 \text{ ENDIF}$

card_remove: THEOREM $\text{card}(\text{remove}(x,S)) = \text{card}(S) - \text{IF } S(x) \text{ THEN } 1 \text{ ELSE } 0 \text{ ENDIF}$

card_subset: THEOREM $\text{subset?}(A,B) \text{ IMPLIES } \text{card}(A) \leq \text{card}(B)$

card_emptyset : THEOREM $\text{card}(\text{emptyset}[T]) = 0$

card_singleton: THEOREM $\text{card}(\text{singleton}(x)) = 1$

Most users of the library will only need to use these lemmas and not the more fundamental definition of `card`.

Minimum and Maximum of a Set

The finite sets library provides two functions `min` and `max` that return the minimum and maximum elements of a set, respectively.

```
SS: VAR non_empty_finite_set [T]
```

```
min(SS): {a:T | SS(a) AND (FORALL (x:T): SS(x) IMPLIES a <= x)}
```

```
max(SS): {a:T | SS(a) AND (FORALL (x:T): SS(x) IMPLIES x <= a)}
```

- ▶ These functions are not constructively defined, but are merely constrained to return a value from a specified set.

The following useful properties of `min` and `max` over the set union operator are also provided:

```
A,B: VAR non_empty_finite_set
```

```
min_union: LEMMA min(A) = x AND min(B) = y IMPLIES
             min(union(A,B)) = min(x,y)
```

```
max_union: LEMMA max(A) = x AND max(B) = y IMPLIES
             max(union(A,B)) = max(x,y)
```

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Summation Over a Set

The library provides a summation operator, `sum` over a set:

```
finite_sets_sum[T, R: TYPE, zero:R, +:[R,R -> R ]]: THEORY
```

```
  f: VAR [T -> R]
```

```
  S: VAR finite_set[T]
```

```
  x: VAR T
```

```
sum(S,f) : RECURSIVE R =
```

```
  IF (empty?(S)) THEN zero
```

```
  ELSE f(choose(S)) + sum(rest(S),f)
```

```
  ENDIF MEASURE (LAMBDA S,f: card(S))
```

Many useful properties of `sum` are available, including:

```
x : VAR T
```

```
S,A,B: VAR finite_set
```

```
sum_empty: THEOREM sum(emptyset[T],f) = zero
```

```
sum_singleton: THEOREM sum singleton(x),f) = f(x) + zero
```

```
sum_add: THEOREM sum(add(x,S),f)
```

```
      = sum(S,f) + IF member(x,S) THEN zero ELSE f(x) ENDIF
```

```
sum_remove: THEOREM sum(remove(x,S),f)
```

```
      + IF member(x,S) THEN f(x) ELSE zero ENDIF = sum(S,f)
```

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The library provides several induction schemes over sets:

```
finite_sets_inductions[T: TYPE]: THEORY
```

```
  S, S1, S2, s: VAR finite_set[T]
```

```
  e: VAR T
```

```
  p: VAR pred[finite_set[T]]
```

```
finite_set_ind_modified: THEOREM
```

```
  (FORALL p: (p(emptyset[T]) AND
```

```
    (FORALL e, S: NOT member(e, S) AND p(S) IMPLIES p(add(e, S))))
```

```
    IMPLIES (FORALL S: p(S)))
```

```
finite_set_induction_gen: THEOREM
```

```
  (FORALL p: (FORALL S:
```

```
    (FORALL S2: card(S2) < card(S) IMPLIES p(S2))
```

```
    IMPLIES p(S))
```

```
    IMPLIES (FORALL S: p(S)))
```

Use these to prove a property p over a set s by

1. proving $p(\text{emptyset})$ and $p(S) \Rightarrow p(\text{add}(e, S))$
2. proving $(\text{FORALL } S2: |S2| < |S| \Rightarrow p(S2)) \Rightarrow p(S)$

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Bags (aka Multisets)

- ▶ Sets capture information about membership
- ▶ Bags capture information about quantity


```
bag: TYPE = [T -> nat]
```
- ▶ Located in the `structures` directory of the library
- ▶ Convert a bag to a set: `bag_to_set`

Some operations on bags:

```
emptybag      : bag = (LAMBDA t: 0)

insert(x,b)   : bag = (LAMBDA t: IF x = t THEN b(t) + 1 ELSE b(t) ENDIF)

purge(x,b)    : bag = (LAMBDA t: IF x = t THEN 0 ELSE b(t) ENDIF)

extract(x,b)  : bag = (LAMBDA t: IF x = t THEN b(t) ELSE 0 ENDIF)

plus(a,b)     : bag = (LAMBDA t: a(t) + b(t))

union(a,b)    : bag = (LAMBDA t: max(a(t),b(t)))

intersection(a,b): bag = (LAMBDA t: min(a(t),b(t)))
```

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