

# Basic Commands & Propositional Logic

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# Sequents

PVS uses *sequents* to represent proof goals. Each contains one or more (sub)formulas.

Sequent semantics: The conjunction of the *antecedents* above the *turnstile* implies the disjunction of the *consequents*.

{-1}	(p => q)	←	<i>antecedent</i>
{-2}	p	←	<i>antecedent</i>
	-----	←	<i>turnstile</i>
{1}	q	←	<i>consequent</i>
{2}	r	←	<i>consequent</i>

Thus,  $p \Rightarrow q$  and  $p$  entail either  $q$  or  $r$ .

When entering the prover, the initial sequent will contain a single consequent and no antecedents.

## Terminal Sequents

A PVS proof is a sequence of commands to manipulate sequents.

- ▶ The commands transform sequents into new sequents in soundness-preserving ways.
- ▶ Goal: transform the sequent into a *terminal sequent* – one PVS recognizes as being obviously valid.
  - ▶ An antecedent is false.
  - ▶ A consequent is true.
  - ▶ The same formula is both an antecedent and a consequent.

We will return to the question of why these are “obviously valid.”

# From Sequents To Proofs

The proof process generates a sequence or tree of sequents.

- ▶ Non-branching case:  $S_0, S_1, \dots, S_n$
- ▶ Each proof rule ensures that backward implication holds:  
 $S_{i+1} \Rightarrow S_i$
- ▶ Since implication is transitive, it follows that  $S_n \Rightarrow S_0$ .
- ▶ If  $S_n$  is valid, then so is  $S_0$ , i.e.,  $S_0$  has been proved.
- ▶ When there are branching proof steps, this generalizes to trees of sequents.
  - ▶ Every non-branching path in the tree has the backward implication property.
  - ▶ The branching steps maintain the property conjunctively:  
 $S_{i+1,1} \wedge \dots \wedge S_{i+1,k} \Rightarrow S_i$
  - ▶ If every leaf  $L$  is a valid formula, then so is  $S_0$ .

## On the Prover's Lisp-Based Notation

Proof commands take the form of Lisp S-expressions.

- ▶ Examples: `(flatten)`, `(split -1)`, `(expand "fib")`
- ▶ Commands invoke prover *rules* or *strategies*.
- ▶ Arguments are typically numbers or strings.

Formulas can be referred to by number:

- ▶ Positive numbers for consequents.
- ▶ Negative numbers for antecedents.
- ▶ Sometimes a list of numbers can be used: `(-2 -1 3 4)`
- ▶ Special symbols: `+` (all consequents), `-` (all antecedents), `*` (all formulas)

# Prover Command Documentation

Documentation for each proof command describes its syntax.

Syntax	Possible invocations
<code>(copy fnum)</code>	<code>(copy 2)</code> <code>(copy -3)</code>
<code>(skosimp &amp;optional (fnum *) preds?)</code>	<code>(skosimp)</code> <code>(skosimp -3)</code> <code>(skosimp + t)</code>
<code>(induct var &amp;optional (fnum 1) name)</code>	<code>(induct "n")</code> <code>(induct "n" 2)</code> <code>(induct "n" :name "NAT_induction")</code>
<code>(hide &amp;rest fnums)</code>	<code>(hide)</code> <code>(hide 2)</code> <code>(hide -)</code> <code>(hide -3 -4 1 2)</code> <code>(hide -2 +)</code>

Optional arguments are specified using two forms:

- ▶ `(<arg> <df1t>)` : default value is `<df1t>`
- ▶ `<arg>` : default value is `nil`

## Help Commands

Prover has a single help command:

- ▶ Syntax: `(help &optional name)`
- ▶ Provides a short description of each prover command
- ▶ Also a GUI based interface: `M-x x-prover-commands`
- ▶ Example:

Rule? `(help flatten)`

`(flatten &rest fnums):`

Disjunctively simplifies chosen formulas. It eliminates any top-level antecedent conjunctions, equivalences, and negations, and succedent disjunctions, implications, and negations from the sequent.

# Control Commands

The prover provides several commands for control flow.

- ▶ Leaving the prover and terminating current proof:
  - ▶ Syntax: `(quit)`, which can be abbreviated `q`
- ▶ Undoing one or more proof steps:
  - ▶ Syntax: `(undo &optional to)`
  - ▶ Undoes effects of recent proof steps and restores an earlier state.
  - ▶ Can undo a specified number of steps or to a specific label in the proof tree.
  - ▶ Example: `(undo 3)` undoes previous 3 steps.
  - ▶ Limited redo capability: `(undo undo)` undoes last `undo`.
  - ▶ Caution: `undo` prunes the proof tree (deletes parallel branches).

## Changing Branches in a Proof

It is possible to defer work on one branch and pursue another.

- ▶ Postponing the current proof branch:
  - ▶ Syntax: `(postpone &optional print?)`
  - ▶ Places current goal on parent's list of pending subgoals.
  - ▶ Brings up next unproved subgoal as the current goal.
  - ▶ The Emacs command `M-x siblings` shows the sibling subgoals of the current goal in a separate emacs buffer.

Sample proof tree:

```
(""  
  (split)  
  (("1" (flatten) (skosimp*) (inst?))  
   ("2" (flatten) (skosimp*) (inst?))))
```

# Propositional Rules

Several commands are available to manipulate the current sequent.

- ▶ Sequent flattening is the most basic operation:
  - ▶ Syntax: `(flatten &rest fnums)`
  - ▶ Normally applied to entire sequent (no `fnums` given).
  - ▶ Performs disjunctive simplification repeatedly.
- ▶ Sequent splitting is the dual operation:
  - ▶ Syntax: `(split &optional (fnum *) depth)`
  - ▶ Splits the current goal into two or more subgoals for each specified formula.
  - ▶ Causes branching in the proof tree.
  - ▶ It helps to carry out steps common to all branches before splitting.

## Where to Apply the Rules

Both the logical operator and the location of the formula in the sequent determine the appropriate rule to apply.

Location	Top-level logical connective	
	OR, =>	AND, IFF
Antecedent	use <code>(split)</code>	use <code>(flatten)</code>
Consequent	use <code>(flatten)</code>	use <code>(split)</code>

Recall logical equivalences:

- ▶  $P \Rightarrow Q$  is equivalent to  $(\text{NOT } P) \text{ OR } Q$
- ▶  $P \text{ IFF } Q$  is equivalent to  $(P \Rightarrow Q) \text{ AND } (Q \Rightarrow P)$

# PVS Theory for Examples

A simple PVS theory to illustrate basic prover commands:

```
prover_basic: THEORY
BEGIN

p,q,r: bool                                % Propositional constants

      :

prop_0: LEMMA ((p => q) AND p) => q

prop_1: LEMMA NOT (p OR q) IFF (NOT p AND NOT q)

prop_2: LEMMA ((p => q) => (p AND q))
      IFF ((NOT p => q) AND (q => p))

      :

fools_lemma: FORMULA ((p OR q) AND r) => (p AND (q AND r))

END prover_basic
```

## Completing a Simple Proof

```
prop_0 :

  |-----
{1}    ((p => q) AND p) => q

Rule? (flatten)
Applying disjunctive simplification to flatten sequent,
this simplifies to:
prop_0 :

{-1}   (p => q)
{-2}   p
  |-----
{1}    q
```

Note that there is still only one goal.

- ▶ Proof tree is still linear.
- ▶ (undo) here would retract the flatten command.

## Completing a Simple Proof (Cont'd)

Now we cause the proof tree to branch:

Rule? (split)  
Splitting conjunctions,  
this yields 2 subgoals:  
prop\_0.1 :

```
{-1}    q
[-2]    p
  |-----
[1]     q
```

which is trivially true.

This completes the proof of prop\_0.1.

Proof branched, another goal remains.

- ▶ Prover automatically moves to the next remaining goal.
- ▶ (undo n) will undo n steps along path to root.

## Completing a Simple Proof (Cont'd)

prop\_0.2 :

```
[-1]    p
  |-----
{1}     p
[2]     q
```

which is trivially true.

This completes the proof of prop\_0.2.

Q.E.D.

Complete proof tree, showing two subgoals after splitting:

```
(" (flatten) (split) (("1" (propax))
                       ("2" (propax))))
```



# The Logical Basis of Sequents

A sequent represents a logical formula in disjunctive form.

$$\begin{aligned} & A_1, \dots, A_m \vdash C_1, \dots, C_n \\ & (A_1 \wedge \dots \wedge A_m) \Rightarrow (C_1 \vee \dots \vee C_n) \\ & \neg(A_1 \wedge \dots \wedge A_m) \vee (C_1 \vee \dots \vee C_n) \\ & \neg A_1 \vee \dots \vee \neg A_m \vee C_1 \vee \dots \vee C_n \end{aligned}$$

Terminal sequents are special cases that make the disjunction trivially true.

- ▶  $C_i = \text{True}$
- ▶  $A_i = \text{False}$
- ▶  $A_i = C_j$  (an instance of  $P \vee \neg P$ )

## Basis of Sequents (Cont'd)

Negations are automatically flattened (eliminated) by moving negated formulas to the other side of the turnstile.

- ▶ If  $C_i = \neg P$ , drop  $C_i$  and add antecedent  $P$ .
- ▶ If  $A_i = \neg P$ , drop  $A_i$  and add consequent  $P$ .

Contradictory antecedents and contradictory consequents are recognized.

- ▶ If  $P$  and  $\neg P$  both appear as antecedents or as consequents, the  $\neg P$  formula will migrate to the other side as  $P$ .
- ▶ Then a terminal sequent results.
- ▶ No need for explicit proof by contradiction.

# Disjunctive Simplification

$$\begin{aligned} & A_1, \dots, A_m, P \wedge Q \vdash C_1, \dots, C_n, R \vee S \\ \neg A_1 \vee \dots \vee \neg A_m \vee \neg(P \wedge Q) \vee C_1 \vee \dots \vee C_n \vee R \vee S \\ \neg A_1 \vee \dots \vee \neg A_m \vee \neg P \vee \neg Q \vee C_1 \vee \dots \vee C_n \vee R \vee S \end{aligned}$$

Sequents can be flattened when formulas are disjunctive.

- ▶ If  $C_i$  is  $P \vee Q$ , drop  $C_i$  and add consequents  $P$  and  $Q$ .
- ▶ If  $A_i$  is  $P \wedge Q$ , drop  $A_i$  and add antecedents  $P$  and  $Q$ .

There are other disjunctive cases.

- ▶ If  $C_i$  is  $P \Rightarrow Q$ , drop  $C_i$  and add antecedent  $P$  and consequent  $Q$ .
- ▶ If  $A_i$  is  $P \Leftrightarrow Q$ , drop  $A_i$  and add antecedents  $P \Rightarrow Q$  and  $Q \Rightarrow P$ .

# Conjunctive Splitting

$$\begin{aligned} & A_1, \dots, A_m, P \vee Q \vdash C_1, \dots, C_n, R \wedge S \\ \neg A_1 \vee \dots \vee \neg A_m \vee \neg(P \vee Q) \vee C_1 \vee \dots \vee C_n \vee (R \wedge S) \\ \neg A_1 \vee \dots \vee \neg A_m \vee (\neg P \wedge \neg Q) \vee C_1 \vee \dots \vee C_n \vee (R \wedge S) \end{aligned}$$

When formulas are conjunctive, sequents can be split into two or more cases.

- ▶ Follows from the equivalence of  $P \vee (Q \wedge R)$  and  $(P \vee Q) \wedge (P \vee R)$ .
- ▶ If  $C_i$  is  $P \wedge Q$ , create two sequents, replacing  $C_i$  by  $P$  then  $Q$ .
- ▶ If  $A_i$  is  $P \vee Q$ , create two sequents, replacing  $A_i$  by  $P$  then  $Q$ .

# Conjunctive Splitting (Cont'd)

$$A_1, \dots, A_m, P \Rightarrow Q \vdash C_1, \dots, C_n, R \Leftrightarrow S$$

$$\neg A_1 \vee \dots \vee \neg A_m \vee \neg(\neg P \vee Q) \vee C_1 \vee \dots \vee C_n \vee ((R \Rightarrow S) \wedge (S \Rightarrow R))$$

$$\neg A_1 \vee \dots \vee \neg A_m \vee (P \wedge \neg Q) \vee C_1 \vee \dots \vee C_n \vee ((R \Rightarrow S) \wedge (S \Rightarrow R))$$

The other conjunctive cases are similar.

- ▶ If  $C_i$  is  $P \Leftrightarrow Q$ , create two sequents with  $C_i$  replaced by  $P \Rightarrow Q$  then  $Q \Rightarrow P$ .
- ▶ If  $A_i$  is  $P \Rightarrow Q$ , create two sequents as follows.
  - ▶ Create a sequent with  $A_i$  replaced by  $Q$ .
  - ▶ Create a sequent by dropping  $A_i$  and adding consequent  $P$ .

Splitting can also be used for top-level IF-expressions.

## Implication Handling

During flattening and splitting, the two sides of an implication go to opposite sides of the turnstile.

(flatten)	New sequent	
$\frac{\{-1\} \quad r}{\{1\} \quad p \Rightarrow q}$	$\frac{\{-1\} \quad p}{[-2] \quad r}$	$[1] \quad q$
(split -1)	Branch 1	Branch 2
$\frac{\{-1\} \quad p \Rightarrow q}{\{-2\} \quad p}$	$\frac{\{-1\} \quad q}{[-2] \quad p}$	$\frac{[-1] \quad p}{\{1\} \quad p}$
$\{1\} \quad q$	$[1] \quad q$	$[2] \quad q$

Due to negation elimination, the contrapositive ( $\neg Q \Rightarrow \neg P$ ) of the implication ( $P \Rightarrow Q$ ) will give the same results.

# Example: A Longer Proof (De Morgan's Law)

prop\_2 :

|-----  
{1} NOT (p OR q) IFF (NOT p AND NOT q)

Rule? (split)

Splitting conjunctions, this yields 2 subgoals:

prop\_2.1 :

|-----  
{1} NOT (p OR q) IMPLIES (NOT p AND NOT q)

Rule? (flatten)

Applying disjunctive simplification to flatten sequent,  
this simplifies to:

prop\_2.1 :

|-----  
{1} p  
{2} q  
{3} (NOT p AND NOT q)

## Longer Proof (Cont'd)

Rule? (split)

Splitting conjunctions,  
this yields 2 subgoals:

prop\_2.1.1 :

{-1} p  
|-----  
[1] p  
[2] q

which is trivially true.

This completes the proof of prop\_2.1.1.

prop\_2.1.2 :

{-1} q  
|-----  
[1] p  
[2] q

which is trivially true.

This completes ... prop\_2.1.2, ... prop\_2.1.

## Longer Proof (Cont'd)

prop\_2.2 :

$$\frac{}{\{1\} \quad (\text{NOT } p \text{ AND NOT } q) \text{ IMPLIES NOT } (p \text{ OR } q)}$$

Rule? (flatten)

Applying disjunctive simplification to flatten sequent, this simplifies to:

prop\_2.2 :

$$\frac{\{1\} \quad (p \text{ OR } q)}{\{1\} \quad p}$$
$$\{2\} \quad q$$

## Longer Proof (Cont'd)

Rule? (split)

Splitting conjunctions, this yields 2 subgoals:

prop\_2.2.1 :

$$\frac{\{1\} \quad p}{[1] \quad p}$$
$$[2] \quad q$$

which is trivially true. This completes the proof of prop\_2.2.1.

prop\_2.2.2 :

$$\frac{\{1\} \quad q}{[1] \quad p}$$
$$[2] \quad q$$

which is trivially true. This completes ... prop\_2.2.2, ... prop\_2.2.

Q.E.D.

# Propositional Simplification

A “black-box” rule for propositional simplification:

- ▶ Syntax: `(prop)`
- ▶ Invokes several lower level propositional rules to carry out a proof without showing intermediate steps.
- ▶ Can generally complete a proof if only propositional reasoning is required.

A rule to convert boolean equalities to IFF:

- ▶ Syntax: `(iff &rest fnums)`
- ▶ Converts equalities on boolean terms so that propositional reasoning can be applied to the two sides.
- ▶ Example: convert  $(a < b) = (c < d)$  to  $(a < b) \text{ IFF } (c < d)$

## Lemma Rules

The prover can be directed to import lemmas and other formulas. Lemmas can come from the containing theory, other user theories, PVS libraries, or the PVS prelude.

- ▶ Syntax: `(lemma name &optional subst)`
- ▶ Example: `(lemma "div_cancel2")`
- ▶ Introduces a new antecedent.
- ▶ Free variables are bound by `FORALL`.
- ▶ Also: `use` and `forward-chain`

# Lemma Rules (Cont'd)

Rewriting is a specialized way to use external formulas.

- ▶ Can (conditionally) rewrite terms in the sequent with equivalent terms.
- ▶ Commands: `(rewrite name &optional (fnums *) ...)`,  
`(rewrite-lemma lemma subst &optional (fnums *) ...)`, and others

Function applications can be expanded in place (a form of rewriting).

- ▶ Syntax: `(expand name &optional (fnum *) ...)`
- ▶ Also works for constants.

## Example: Propositional Weather Model

```
landing_weather: THEORY
BEGIN

clear: bool      % Minimal cloudiness
cloudy: bool     % Mostly cloudy skies
rainy: bool     % Steady rainfall
snowy: bool     % Includes sleet, freezing rain, etc.
windy: bool     % Moderate wind speed

cond_ax1: AXIOM  rainy => cloudy
cond_ax2: AXIOM  snowy => cloudy
cond_ax3: AXIOM  clear IFF NOT cloudy

ideal:    bool = clear AND NOT windy
favorable: bool = NOT rainy AND NOT snowy
adverse:  bool = rainy OR snowy

weath_1: LEMMA  rainy => NOT clear
weath_2: LEMMA  snowy => NOT clear
weath_3: LEMMA  clear => favorable
      :
END landing_weather
```

# A Proof About the Weather

weath\_1 :

|-----  
{1} rainy => NOT clear

Rule? (flatten)

Applying disjunctive simplification to flatten sequent,  
this simplifies to:

weath\_1 :

{-1} rainy  
{-2} clear  
|-----

Rule? (lemma "cond\_ax1")

Applying cond\_ax1  
this simplifies to:

weath\_1 :

{-1} rainy => cloudy  
[-2] rainy  
[-3] clear  
|-----

## A Weather Proof (Cont'd)

Rule? (split)

Splitting conjunctions,  
this yields 2 subgoals:

weath\_1.1 :

{-1} cloudy  
[-2] rainy  
[-3] clear  
|-----

Rule? (lemma "cond\_ax3")

Applying cond\_ax3  
this simplifies to:

weath\_1.1 :

{-1} clear IFF NOT cloudy  
[-2] cloudy  
[-3] rainy  
[-4] clear  
|-----



## A Weather Proof (Cont'd)

Rule? (flatten)

Applying disjunctive simplification to flatten sequent,  
this simplifies to:

weath\_1.1 :

```
{-1} clear IMPLIES NOT cloudy
{-2} NOT cloudy IMPLIES clear
[-3] cloudy
[-4] rainy
[-5] clear
  |-----
```

Rule? (split -1)

Splitting conjunctions, this yields 2 subgoals:

weath\_1.1.1 :

```
[-1] NOT cloudy IMPLIES clear
[-2] cloudy
[-3] rainy
[-4] clear
  |-----
{1} cloudy
```

which is trivially true. This completes the proof of weath\_1.1.1.

## A Weather Proof (Cont'd)

weath\_1.1.2 :

```
[-1] NOT cloudy IMPLIES clear
[-2] cloudy
[-3] rainy
[-4] clear
  |-----
{1} clear
```

which is trivially true.

This completes ... weath\_1.1.2. This completes ... weath\_1.1.

weath\_1.2 :

```
[-1] rainy
[-2] clear
  |-----
{1} rainy
```

which is trivially true.

This completes the proof of weath\_1.2.

Q.E.D.

# A Second Weather Proof

weath\_3 :

|-----  
{1} clear => favorable

Rule? (expand "favorable")  
Expanding the definition of favorable,  
this simplifies to:  
weath\_3 :

|-----  
{1} clear => NOT rainy AND NOT snowy

Rule? (flatten)  
Applying disjunctive simplification to flatten sequent,  
this simplifies to:  
weath\_3 :

{-1} clear  
|-----  
{1} NOT rainy AND NOT snowy

## A Second Weather Proof (Cont'd)

Rule? (split)  
Splitting conjunctions,  
this yields 2 subgoals:  
weath\_3.1 :

{-1} rainy  
[-2] clear  
|-----

Rule? (lemma "weath\_1")  
Applying weath\_1  
this simplifies to:  
weath\_3.1 :

{-1} rainy => NOT clear  
[-2] rainy  
[-3] clear  
|-----

## A Second Weather Proof (Cont'd)

Rule? (split)

Splitting conjunctions, this yields 2 subgoals:

weath\_3.1.1 :

```
[-1] rainy
[-2] clear
  |-----
{1}  clear
```

which is trivially true. This completes the proof of weath\_3.1.1.

weath\_3.1.2 :

```
[-1] rainy
[-2] clear
  |-----
{1}  rainy
```

which is trivially true. This completes the proof of weath\_3.1.2.  
This completes the proof of weath\_3.1.

## A Second Weather Proof (Cont'd)

weath\_3.2 :

```
{-1} snowy
[-2] clear
  |-----
```

Rule? (lemma "weath\_2")

Applying weath\_2

this simplifies to:

weath\_3.2 :

```
{-1} snowy => NOT clear
[-2] snowy
[-3] clear
  |-----
```

# A Second Weather Proof (Cont'd)

Rule? (split)

Splitting conjunctions, this yields 2 subgoals:

weath\_3.2.1 :

```
[-1]  snowy
[-2]  clear
  |-----
{1}   clear
```

which is trivially true. This completes the proof of weath\_3.2.1.

weath\_3.2.2 :

```
[-1]  snowy
[-2]  clear
  |-----
{1}   snowy
```

which is trivially true. This completes ... weath\_3.2.2.

This completes ... weath\_3.2.

Q.E.D.

## Replacing Equalities

Antecedent equalities can be used for replacement/rewriting:

- ▶ Syntax: (replace fnum &optional (fnums \*) ...)
- ▶ Replaces term on LHS with RHS in target formulas
- ▶ Example: if formula -2 is  $x = 3 * \text{PI} / 2$

(replace -2)

Causes replacement for  $x$  throughout the entire sequent

# User-Directed Splitting

A rule to force splitting based on user-supplied cases:

- ▶ Syntax: `(case &rest formulas)`
- ▶ Given  $n$  formulas  $A_1, \dots, A_n$  `case` will split the current goal into  $n + 1$  cases.
- ▶ Allows user-directed paths through the proof to be taken so branching can occur on conditions not apparent from the sequent itself.
- ▶ Example: `(case "n < 0" "n = 0")` causes three cases to be examined corresponding to whether  $n$  is negative, zero, or positive (not negative and not zero).

## Embedded IF-expressions

Embedded IF-expressions must be “lifted” to the top (outermost operator) to enable splitting.

- ▶ Command to lift IF-expressions:
  - ▶ Syntax: `(lift-if &optional fnums (updates? t))`.
  - ▶ When several IFs are in the sequent, may need to be selective about which to choose.
  - ▶ After lifting, `split` may be used.

Effect of `lift-if`:

```
. . . f(IF a THEN b ELSE c ENDIF) . . .
```

becomes:

```
. . . IF a THEN f(b) ELSE f(c) ENDIF . . .
```

Repeated applications bring the IF to the top

# Graphical Proof Display

- ▶ Current proof tree may be displayed during a proof.
  - ▶ Command: `M-x x-show-current-proof`
  - ▶ Tree is updated on each command
  - ▶ Clicking on a node shows its sequent.
  - ▶ Helpful for navigating during multiway or multilevel splits.
- ▶ Finished proof may also be displayed.
  - ▶ Command: `M-x x-show-proof`
  - ▶ Invoked outside of prover
- ▶ PostScript can be generated.

## Summary

- ▶ Prover commands are S-expressions.
- ▶ Help is on the way:  
`help` and `M-x x-prover-commands`
- ▶ Do-over! `undo`
- ▶ Core propositional reasoning commands:  
`split` and `flatten`
- ▶ Other propositional commands covered:  
`prop`, `iff`, `replace`, `case`, `lift-if`, etc.
- ▶ A little help from my friends:  
`lemma`, `expand`
- ▶ A picture is worth a thousand proof commands:  
`M-x x-show-current-proof`, and `M-x x-show-proof`